	Application Serial Number	10/534,900
TRANSMITTAL FORM	**Filing Date**	May 13, 2005
	First Named Inventor	Kinloch
	Group Art Unit	Not Yet Assigned
	Examiner Name	Not Yet Assigned
	Attorney Docket No.	UDL-002
	Confirmation No.	1909
	Issue Date	Not applicable

ENCLOSURES *(check all that apply)*

☒ Fee Transmittal Form

  ☒ Check Attached
  ☐ Copy of Fee
    Transmittal Form

☒ Amendment/Response

  ☒ Second Preliminary
  ☐ After Final
  ☐ Affidavits/declaration(s)
  ☐ Letter to Official
    Draftsperson
  including Drawings
  [Total Sheets _____]

☐ Petition for Extension of
  Time

☐   Information
    Disclosure Statement
    ☐ Form PTO-1449
    ☐ Copies of IDS
      Citations

☐ Certified Copy of Priority
  Document(s)

☐ Sequence Listing submission
  ☐ Paper Copy/CD
  ☐ Computer Readable Copy
  ☐ Statement verifying
    identity of above

☒ Copy of Notification of Missing
  Requirements

☐ Formal Drawing(s)

☐ Request For Continued
  Examination (RCE)
  Transmittal

☐ Power of Attorney
  (Revocation of Prior Powers)

☐ Terminal Disclaimer

☒ Copy of Executed Declaration
  and Power of Attorney for Utility
  or Design Patent Application

☐ Small Entity Statement

☐ CD(s) for large table or computer
  program

☐ Amendment After Allowance

☐ Request for Certificate of
  Correction
  ☐ Certificate of Correction (in
  duplicate)

☐ Notice of Appeal to Board
  of Patent Appeals and Interferences

☐ Appeal Brief (in triplicate)

☐ Status Inquiry

☒ Return Receipt Postcard

☒ Certificate of First Class Mailing
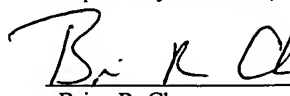 under 37 C.F.R. 1.8

☐ Certificate of Facsimile
  Transmission under 37 C.F.R. 1.8

☒ Additional Enclosure(s)
  (please identify below)

  • Response to Notice to File Missing
    Requirements

CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator
    Goodwin Procter LLP
    Exchange Place
    Boston, MA 02109
    Tel. No.: (617) 570-1000
    Fax No.: (617) 523-1231
    Customer No. 051414

SIGNATURE BLOCK

Respectfully submitted,

Date: October 5, 2005
Reg. No. 56,663
Tel. No.: (617) 570-1053
Fax No.: (617) 523-1231

Brian R. Chase
Attorney for Applicants
Goodwin Procter LLP
Exchange Place
Boston, MA 02109

LIBC/2612081.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:	Kinloch et al.	CONFIRMATION NO.:	1909
SERIAL NO.:	10/534,900	GROUP NO.:	Not yet assigned
FILING DATE:	May 13, 2005	EXAMINER:	Not yet assigned
TITLE:	Method for Producing Carbon Nanotubes and/or Nanofibres		

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 5th day of October, 2005.

Linda M. Penta

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Submitted herewith is/are:

1. Transmittal Form (1 pg.);

2. Fee Transmittal Form (1 pg.);

3. Check in the amount of $130.00;

4. Response to Notice to File Missing Requirements (1 pg.);

5. Copy of Notification Missing Requirements (2 pgs.);

6. Copy of Declaration and Power of Attorney (3 pgs.);

7. Second Preliminary Amendment (7 pgs); and

8. Return receipt postcard.

10/12/2005 GFREY1   00000115 10534900
01 FC:1617   130.00 OP

FEE TRANSMITTAL
FY 2005

Application Serial Number	10/534,900
Filing Date	May 13, 2005
First Named Inventor	Kinloch
Group Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket No.	UDL-002

METHOD OF PAYMENT

1. ☒ Payment Enclosed:
   ☒ Check ☐ Money Order ☐ Other

2. ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. **07-1700**.
   ☐ Required Fees (copy of this sheet enclosed).
   ☒ Additional fee required under 37 CFR 1.16 and 1.17.
   ☒ Overpayment Credit.

3. ☒ Applicant claims small entity status.

FEE CALCULATION

1. FILING/SEARCH/EXAM/SIZE FEES

Large Entity

Fee ($)	Fee Description	Fee Paid
300	Utility filing fee	
500	Utility search fee	
200	Utility exam fee	
250	Utility size fee (each add'l 50 pgs. over 100)	
200	Design filing fee	
100	Design search fee	
130	Design exam fee	
250	Design size fee (each add'l 50 pgs. over 100)	

	Number Filed	Number Extra	Rate	Amount
Total Claims		- 20 =	x $ 50.00 =	
Independent Claims		- 3 =	x $200.00 =	

☐ Multiple Dependent Claim(s), if any $360.00 =

TOTAL:
SMALL ENTITY DISCOUNT:
SUBTOTAL (1) | ($) 0.00

2. AMENDMENT CLAIM FEES

Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid
Total	-	=	x $ 50.00=	
Indep.	-	=	x $200.00=	
☐ First Presentation of Multiple Dep. Claim			+ $360.00=	

TOTAL: ($)
SMALL ENTITY DISCOUNT: ($)
SUBTOTAL (2) | ($) 0.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee ($)	Small Entity Fee ($)	Fee Descriptions	Fee Paid
130	65	Surcharge - late filing fee or oath	130.00
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	Request for ex parte reexamination	
120	60	Extension for reply within first month	
450	225	Extension for reply within second month	
1020	510	Extension for reply within third month	
1590	795	Extension for reply within fourth month	
2160	1080	Extension for reply within fifth month	
500	250	Notice of Appeal	
500	250	Filing a brief in support of an appeal	
1000	500	Request for oral hearing	
400	400	Petitions to the Commissioner (Gp. I)	
200	200	Petitions to the Commissioner (Gp. II)	
130	130	Petitions to the Commissioner (Gp. III)	
180	180	Submission of Information Disclosure Statement	
790	395	Filing a submission after final rejection (37 CFR 1.129(a))	
790	395	For each additional invention to be examined (37 CFR 1.129(b))	
100	100	Certificate of Correction for applicant's error	
130	65	Submission of Terminal Disclaimer	
		Other fee (Specify) _____	
		Other fee (Specify) _____	

SUBTOTAL (3) | ($) 130.00

SUBTOTAL (1) | 0.00
SUBTOTAL (2) | 0.00
SUBTOTAL (3) | 130.00
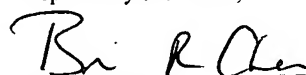
TOTAL | ($) 130.00

CORRESPONDENCE ADDRESS

Direct all correspondence to:
Patent Administrator
Goodwin | Procter LLP
Exchange Place
Boston, MA 02109
Tel. No.: (617) 570-1000
Fax No.: (617) 523-1231

SIGNATURE BLOCK

Respectfully submitted,

Date: October 5, 2005
Reg. No.: 56,663
Tel. No.: (617) 570-1053
Fax No.: (617) 523-1231

Brian R. Chase
Attorney for the Applicants
Goodwin | Procter LLP
Exchange Place
Boston, MA 02109

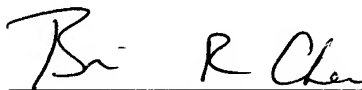LIBC/2612067v1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:	Kinloch *et al.*	CONFIRMATION NO.:	1909
SERIAL NO.:	10/534,900	GROUP NO.:	Not yet assigned
FILING DATE:	May 13, 2005	EXAMINER:	Not yet assigned
TITLE:	METHOD FOR PRODUCING CARBON NANOTUBES AND/OR NANOFIBRES		

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 23313-1450

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

In response to the Notice of Missing Requirements under 35 U.S.C. 371 in the United States Designated/Elected Office, (the "Notice") mailed from the U.S. Patent & Trademark Office on September 8, 2005, Applicants enclose a copy of the Notice, a Second Preliminary Amendment, a copy of the executed Declaration and Power of Attorney form, and a check in the amount of $130.00 to cover the late declaration surcharge. Applicants believe that no additional fees are necessitated for entry of this paper. In the event, however, that any additional fees are due, the Commissioner is hereby authorized to charge any such fees to Attorney's Deposit Account No. 07-1700.

Respectfully submitted,

Date: October 5, 2005
Reg. No. 56,663

Brian R. Chase, Esq.
Attorney for Applicants
Goodwin | Procter LLP
Exchange Place
Tel. No.: (617) 570-1053
Fax No.: (617) 523-1231
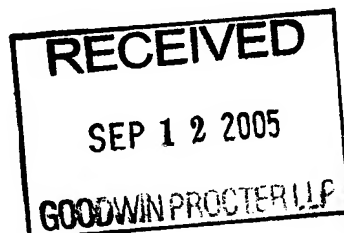Boston, Massachusetts 02109

LIBC/2604555.1

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/534,900	Ian Kinloch	UDL-002

INTERNATIONAL APPLICATION NO.
PCT/GB03/04925

51414
GOODWIN PROCTER LLP
PATENT ADMINISTRATOR
EXCHANGE PLACE
BOSTON, MA 02109-2881

RECEIVED
SEP 1 2 2005
GOODWIN PROCTER LLP

I.A. FILING DATE	PRIORITY DATE
11/13/2003	11/14/2002

CONFIRMATION NO. 1909
371 FORMALITIES LETTER
OC000000016955899
OC000000016955899

Date Mailed: 09/08/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 05/13/2005
- Copy of the International Search Report filed on 05/13/2005
- Preliminary Amendments filed on 05/13/2005
- U.S. Basic National Fees filed on 05/13/2005
- Priority Documents filed on 05/13/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of **$3960** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of $130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **$3890** for a Large Entity:

- **$130** Surcharge.

- Total additional claim fee(s) for this application is **$ 3960**

  - **$3600** for **85** total claims over 20.
  - **$360** for multiple dependent claim surchare.

  (A previous payment of **$200** will be applied to the additional fees indicated above.)

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

PATRICIA A BOOKER

Telephone: (703) 308-9140 EXT 204

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/534,900	PCT/GB03/04925	UDL-002

FORM PCT/DO/EO/905 (371 Formalities Notice)